



414 Rec'd PCT/PTO 16 OCT 2000  
PATENT

PC T 2613

#5

Attorney Docket :  
33216 M 046

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Yuji FUJIWARA, et al.

Serial No. : 09/554,517

Group Art Unit : 4364

Filed : May 17, 2000

For : Video Signal Coding Method, A Video Signal Coding Apparatus,  
and A Program Recording Medium

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents  
Washington, DC 20231

Sir :

Applicants respectfully request issue of a corrected Filing Receipt in the above  
referenced patent application to show the correct attorney docket number which is :

-- 033216 M 046 -- .

Attached are copies of (1) the Filing Receipt showing the requested correction marked  
in red ink, (2) a copy of the application Transmittal Letter and (3) a copy of the PTO date-  
stamped postcard filing acknowledgement showing the correct docket number and  
corresponding serial number.

Applicants are not responsible for any fee in this matter since all information provided  
to the U.S. Patent Office by Applicants was both complete and correct.

Respectfully submitted,

SMITH, GAMBRELL & RUSSELL LLP  
Beveridge, DeGrandi, Weilacher & Young  
Intellectual Property Group

By:

Michael A. Makuch, Reg. No. 32,263  
1850 M Street, NW - Suite 800  
Washington, DC 20036  
Telephone : 202/ 659-2811  
Facsimile : 202/659-1462

October 16, 2000

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**FILING RECEIPT**

\*OC000000005189558\*

**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**Address: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/554,517	05/17/2000	2713	4364	33216M045 ✓ 33216M046	22	50	25

BEVERIDGE DEGRANDI WEILACHER & YOUNG  
1850 M STREET NW  
SUITE 800  
WASHINGTON, DC 20036



Date Mailed: 06/21/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

YUJI FUJIWARA, HYOGO, JAPAN;  
MASAKAZU NISHINO, OSAKA, JAPAN;  
MITSUHIRO MIYASHITA, HYOGO, JAPAN;  
KAZUHIRO WAKE, OSAKA, JAPAN;

**Continuing Data as Claimed by Applicant**

THIS APPLICATION IS A 371 OF PCT/JP99/05101 09/20/1999

**Foreign Applications**

JAPAN H10-268,478 09/22/1998  
JAPAN H10-348,076 12/08/1998

If Required, Foreign Filing License Granted 06/20/2000

**Title**

VIDEO SIGNAL ENCODING METHOD, VIDEO SIGNAL ENCODER, AND PROGRAM RECORDED  
MEDIUM

**Preliminary Class**

348

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**COPY**

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

33216M046

U.S. APPLICATION NO. (if  
known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.

PCT/JP99/05101

INTERNATIONAL FILING DATE

20 September 1999

PRIORITY DATE CLAIMED

22 September 1998

TITLE OF INVENTION

VIDEO SIGNAL CODING METHOD, A VIDEO SIGNAL CODING APPARATUS, AND A PROGRAM  
RECORDING MEDIUM

APPLICANT(S) FOR DO/EO/US

Yuji FUJIWARA et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(I).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98. (with copy of each of the 4 cited references).
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
  - a. PCT Request (PCT/RO/101);
  - b. PCT/IB/301;
  - c. PCT/RO/105; and
  - d. Copy of cover page of WO 00/18129.

**COPY**

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER

33216M045

U.S. APPLICATION NO. (if known,  
see 37 CFR 1.5)17. ☒ The following fees are submitted:

CALCULATIONS

PTO USE ONLY

**Basic National Fee (37 CFR 1.492(a)(1)-(5)):**

Search Report has been prepared by the EPO or JPO ..... \$840.00

International preliminary examination fee paid to USPTO  
(37 CFR 1.482) ..... \$670.00No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international  
search fee paid to USPTO (37 CFR 1.445(a)(2)) ..... \$690.00Neither international preliminary examination fee (37 CFR 1.482) nor  
international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$ 970.00International preliminary examination fee paid to USPTO (37 CFR 1.482)  
and all claims satisfied provisions of PCT Article 33(2)-(4) ..... \$96.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$840.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from  
the earliest claimed priority date (37 CFR 1.495(e)).

\$

Claims	Number Filed	Number Extra	Rate		
Total Claims	106-20 =	86	x \$18.00	1,548.00	
Independent Claims	25-3 =	22	x \$78.00	1,716.00	
Multiple dependent claim(s) (if applicable)			+ \$260.00	260.00	
TOTAL OF ABOVE CALCULATIONS =				\$ 4,364.00	
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).					
SUBTOTAL =				\$ 4,364.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+	
TOTAL NATIONAL FEE =				\$ 4,364.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property.				+	
TOTAL FEES ENCLOSED =				4,404.00	
				Amount to be refunded	\$
				charged	\$

a. ☒ A check in the amount of \$ 4,404.00 to cover the above fees is enclosed.b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to  
Deposit Account No. 02-4300.NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b))  
must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

SMITH, GAMBRELL & RUSSELL, LLP  
The Beveridge, DeGrandi, Weilacher & Young  
Intellectual Property Group  
1850 M Street, N.W., Suite 800,  
Washington, D.C. 20036  
Telephone: (202) 659-2811  
Facsimile: (202) 659-1462

  
SIGNATURE

Michael A. Makuch, 32,263  
NAME REGISTRATION NO.

Date: May 17, 2000

COPY

**Postcard receipt: Please apply mail room stamp and return to:**

**SMITH, GAMBRELL & RUSSELL, L.L.P.**

**09/554517**

**The Beveridge, DeGrandi, Weilacher & Young Intellectual Property Group**

**Attorney Docket No. : 33216M046**

**Applicants : Yuji FUJIWARA et al.**

**International Appln No.: PCT/JP99/05101**

**Serial No. : To Be Assigned**

**Filed : May 17, 2000**

**For : VIDEO SIGNAL CODING METHOD, A VIDEO SIGNAL-  
CODING APPARATUS, AND A PROGRAM RECORDING  
MEDIUM**

**List of attached document(s): Transmittal Letter to the U.S. Designated/Elected Office  
Concerning a Filing Under 35 U.S.C. 371; copy of the international application; copy of  
application (English translation) including 129 pages of text, 22 sheets of formal drawings; signed  
Declaration/Power of Attorney; Recordation Cover Sheet and signed Assignment; Preliminary  
Amendment; Information Disclosure Statement; PTO-1449 w/7 attached references and Int'l  
Search Report; PCT/RO/101; PCT/IB/301; PCT/RO/105; copy of cover page of WO 00/18129;  
and a check for \$4,404.00.**

**Filed: March 17, 2000 /MAM:lh**

**529 Rec'd PCT/PTO 17 MAY 2000**

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